



IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Alan Ballard, Peggy Shafer, and Theresa Sherwood

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SERIAL NO.: 10/040,397

JUL 03 2002

FILED: 12/28/2001

Technology Center 2100

TITLE: Customization of tab-order functionality in internet applications

EXAMINER: Unknown

GROUP ART UNIT: 2152

ATTY.DKT.NO.: PA1741US

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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**  
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 listing several references, copies of which are enclosed. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicant(s)'s duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted,  
Alan Ballard et al.

Dated: 6/17/02

By: 

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/040,397
				Filing Date	12/28/2001
				First Named Inventor	Alan Ballard
				Group Art Unit	2152
				Examiner Name	Unknown
Sheet	1	of	2	Attorney Docket Number	PA1741US
<b>RECEIVED</b> JUL 03 2002 Technology Center 2100					

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		<b>Completeness if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	10/040,397
		Filing Date	12/28/2001
		First Named Inventor	Alan Ballard
		Group Art Unit	2152
		Examiner Name	Unknown
C Sheet	2	of	2
Attorney Docket Number PA1741US			
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**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Alan Ballard, Peggy Shafer and Theresa Sherwood  
SERIAL NO.: 10/040,397  
FILED: December 28, 2001  
TITLE: Customization of tab-order functionality in internet applications  
EXAMINER: Unknown  
GROUP ART UNIT: 2152  
ATTY.DKT.NO.: PA1741US

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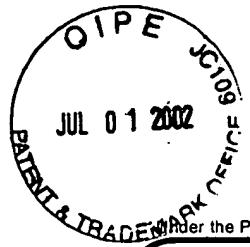
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List of Co-Pending Patent Applications That May Be Directed  
Towards Similar Subject Matter

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
	Unknown, Docket No. PA1967US	Alan Ballard	System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes	06/07/02
	10/040,396	Theresa Sherwood	System and Method for Searching Data Partially Displayed on a User Interface	12/28/01
	10/041,015	Alan Ballard	Customization of User Interface Presentation in an Internet Application User Interface	12/28/01
	10/035,413	Alan Ballard	Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface	12/28/01
	10/041,034	Peter Gassner	Customization of Client-Server Interaction in an Internet Application	12/28/01
Examiner's Signature:				Date



2152

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/040,397
		Filing Date	12/28/2001
		First Named Inventor	Alan Ballard <b>RECEIVED</b>
		Group Art Unit	2152 <i>JUL 03 2002</i>
		Examiner Name	Unknown <i>Technology Center 2100</i>
Total Number of Pages in This Submission	609	Attorney Docket Number	PA1741US

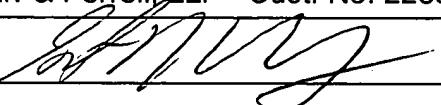
### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard, Copy of three cited reference. Copies of five related U.S. Patent Applications. Statement of co-pending applications (2 copies).
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

Total page number does not include postcard and check(s), if applicable.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Steven M. Colby Carr & Ferrell, LLP Cust. No. 22830
Signature	
Date	June 17, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	Steven M. Colby
Signature	
Date	June 17, 2002

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